

LAO PEOPLE'S DEMOCRATIC REPUBLIC PEACE INDEPENDENCE DEMOCRACY UNITY PROSPERITY

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Lao Securities Commission Lao Securities Commission Office

No. 0031/LSCO Vientiane Capital, dated 20 September 2016

Notification

To: All Lao and non-Lao citizens nationwide.

Re: Notify the list of securities companies that receive business licenses from the Lao Securities Commission for securities activities operation in the Lao PDR.

The Lao Securities Commission Office is pleased to notify all Lao and non-Lao citizens in Lao PDR that the Lao Securities Commission Office has currently approved some companies to perform securities activities such as a financial advisor in preparation for securities issuing to the public; a securities underwriter and securities brokerage such as securities trading on share, corporate bonds, and securities indexes, which consistently with relevant laws and regulations as the list below:

- 1. BCEL-KT Securities Company Limited, Tel: 021-256-477.
- 2. LANEXANG Securities Public Company, Tel: 021-256-468.
- 3. Lao-China Securities Company Limited, Tel: 021-419-222.
- 4. APM (LAO) Securities Company Limited, Tel: 021-419-170.

The headquarter offices of four companies are located at the Lao Securities Exchange Building (in front of the LAO – ITECC Shopping Center) on the 5th floor, 6th floor, and 7th floor, respectively.

In the case of seeing similar services provided to customers by other companies, except these four companies, please inform the Lao Securities Commission Office by calling 021-217-770 during working hours.

¹ Although every effort has been made to convey the meaning and effect of each provision of the original Lao language version as accurately as possible, this English translation does not carry any legal authority. Only the original Lao text has legal force; this English translation is strictly for reference.

Therefore, it is informed to all Lao and non-Lao citizens for acknowledgment.

Secretary General of the Lao Securities Commission Office (Seal and Signature)

Saysamone CHANTHACHACK